

AML & KYC Policy

1. In order to deal with the infiltration of illegal funds into the state—owned economy and to prevent the expansion of terrorist activities, countries around the globe are fighting against money laundering and terrorist financing. Therefore, we will implement measures in accordance with applicable laws and procedures to help international organizations counter money laundering and terrorist financing activities around the world.
2. Velos Global Markets Pty Ltd is a legally registered company with its headquarter in Australia. We are committed to fully implement the Know—Your—Customer (KYC) and Anti—Money Laundering (AML) compliance policies which include but not limited to suspicious transaction monitoring and mandatory reporting to local regulators and other compliance agencies, etc.
3. Velos Global Markets Pty Ltd's KYC and AML policies will be further processed according to different customers in different countries and regions. There will be specific analysis of the specific AML and KYC policies for each region. Our robust compliance framework adheres to local and global regulatory requirements to provide integrity and ensure consistent and stable operations of Velos Global Markets Pty Ltd.

4. Since its inception, Velos Global Markets Pty Ltd has maintained records and verified the identity of its customers. At the same time, all transactions executed by customers have been listed and tracked one by one, and their accounts, suspicious transactions and customer authentication records are regularly updated and monitored.
5. If any transactions of any registered members involve currencies, they will be required to verify their identities and comply with Velos Global Markets Pty Ltd's KYC policy, this includes the simultaneous submission of a certified copy of a valid identification and proof of address.
6. Velos Global Markets Pty Ltd reserves the right to reject the application of any persons who are not in compliance with international anti—money laundering standards or who are considered politically exposed or sensitive.
7. Velos Global Markets Pty Ltd has the responsibility and authority to investigate customers to verify the users and their rights to trade. Strengthening customer reviews is part of our risk and assessment. In addition to this, any account attempting to abuse the Velos Global Markets Pty Ltd platform will be immediately frozen and reported to the appropriate authorities.

KYC Policy

What is KYC?

The Know—Your—Customer policy (KYC), which means fully understands your customers, is an intensive review of account holders and is the institutional basis for anti—money laundering to prevent corruption. The KYC policy not only requires financial institutions to implement the real—name account system, but also to ascertain the actual controller of the account and the actual beneficiary of the transaction. It also requires a full identification of the customer's identity, permanent address or his or her business, and takes appropriate measures to ascertain the legality of funding sources. In line with good governance practice, we are accountable for knowing and understanding our customers and associated financial crime risks.

What is the purpose of KYC?

The main goal of KYC policy is to effectively identify and report suspicious behavior through the verification of customer identity and business conduct. It helps us to know you, build a sustainable relationship and help to protect you against the potential risks of financial crime and ensure compliance with the regulatory requirements and statutory provisions particularly anti—

money laundering and terrorist financing. This is because you are unable to reasonably and effectively ascertain or predict suspicious behaviors of the customer from their daily and habitual behaviors unless you have a good understanding of the customer and are able to predict the customer's business behavior. It is also part of KYC requirement that we need to understand the control and ownership structure of the customer and to ascertain the ultimate or beneficial owner of the customer and/or beneficiary of the transaction. This understanding is usually obtained through proper and due diligence on the appropriateness and reasonableness of the beneficiary, source and use of the transaction, as well as the appropriateness and reasonableness of the corporate conduct and transaction patterns after considering the business history of the business.

What are the procedures of KYC?

According to the applicable guidelines of FATF (Financial Action Task Force on Money Laundering), the verification procedures for KYC policy will include:

1. Confirm the identity of the direct customer and know who is the customer.

2. Using reliable and independent documents, data or materials in verifying the identity of the customer.
3. Confirm the actual ownership and control of the customer — which includes confirming which natural person ultimately owns and controls the direct customer, and/or the actual beneficiary of the transaction.
4. Verify the identity of the actual owner of the customer and/or the actual beneficiary of the transaction.
5. Request, sight and maintain records of additional documents required to perform face—to—face customer verification.
6. Conduct ongoing due diligence and detailed reviews undertake continuous detailed review of transactions and accounts during the terms of subsisting of business relationship with the customer to ensure ongoing transactions and financial institutions have the same understanding on the customer, customer business and customer risk profiles and if necessary, to confirm the source of the funds.

Based on the vision, integrity and professionalism of the platform, the trading system will comply with and adhere to the KYC Principles, Rules and Compliance Program. As a legally registered trading platform

system, in order to protect the rights and interests of our customers, we are obliged to verify the customer's account to prevent identity theft, terrorist financing, money laundering and other types of fraud.

Understanding the KYC policy and establishment of procedures can ensure a safe trading environment for all customers.

Therefore, in order to maintain the highest level of security, we require all customers to provide specific documents in order to authenticate and verify their accounts, i.e., the beneficiary's valid identification — as proof of identity of the customer (e.g., passport or ID card); bill or bank statement — as proof of residence (e.g. water bill, electricity bill, or bank statement or invoice).

Please take note that the names and addresses shown in the above identification and household registration documents must be consistent with the customer details registered in the platform system. Please upload the above documents to your personal account for verification and certification.

Thank you for your support and cooperation.